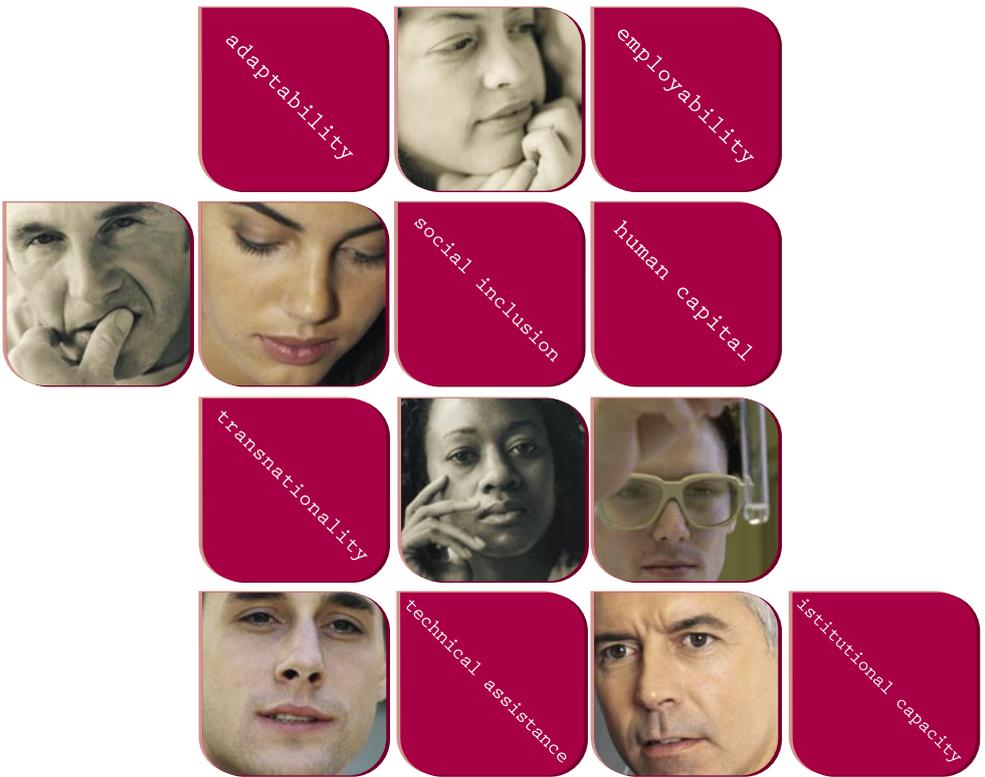


# Summary of the E.S.F. Operational Programme 2007-2013



REGIONE BASILICATA  
Dipartimento Formazione, Lavoro, Cultura, Sport

European  
Social  
Fund



Investing in our future



## Summary of the E.S.F. Operational Programme 2007-2013

### INDEX

	<u>1. The general strategy of ESF OP Basilicata 2007-2013</u>	4
	<u>2. Priority axes and specific aims</u>	5
	<u>3. Implementation modes</u>	12
	<u>4. Financial regulations</u>	17

## 1. The general strategy of the ESF OP Basilicata 2007-2013



The European Social Fund Operational Programme Basilicata 2007-2013 (ESF OP) plans a regional strategy which aims at combining work, professional training, development and social cohesion policies in a cooperative, effortful manner. In accordance with the Lisbon strategy, on which the whole 2007-2013 programming is based, the general aim of the ESF OP Basilicata is as follows:

*To promote the development, stability and quality of work by improving the investments in human resources and research activities, through work flexibility as well as privately-owned and state-owned enterprises, and also by supporting social integration and equal opportunities.*

This global objective is set out in 4 general aims:

- 1. Encouraging and supporting development and competitiveness through work and human resource policies aimed at reforming the productive system**, in order to support the growth of human resources, develop key skills, suitable for the regional context, and new employment and entrepreneurial opportunities. Also to orient local productivity and the labour market towards expertise so as to expand the relations between the local subjects in line with the regional development strategies.
- 2. Facilitating work demand and supply** satisfaction, in order to reinforce the weak points in job offers, ensuring equal labour market opportunities and improving both the quality and quantity of work demand.
- 3. Opposing growing imbalances and satisfying the new social demands** in order to reduce the risks of social exclusion and urban decay, favour the working integration of the weakest subjects, reduce the emigration of young people and encourage the return of emigrants, satisfactorily integrate immigrants and reduce isolation and a lack of services and facilities experienced by the population in the inner areas.
- 4. Favouring the growth of institutional capacity and local subjects** in order to improve the general governance of the development processes, ensure a number of decision-makers and favour their active participation in policy implementation, and strengthen school, education and university so as to give value to human resources.

The above-mentioned list of strategic priorities refers to seven axes of intervention dealing with those foreseen in art. 3 of Reg. (EC) n. 1081/2006:

- Axis I - Adaptability
- Axis II - Employability
- Axis III - Social Inclusion
- Axis IV - Human Capital
- Axis V - Transnational and Interregional Actions
- Axis VI - Technical Assistance
- Axis VII - Institutional Capacity



## Priority axes and specific aims

## 2. Priority axes and specific aims



Axis I Adaptability	Specific aims	
	a	Developing lifelong professional training and supporting workers' flexibility.
	b	Favouring innovation and boosting productivity through improvements to work organization and quality.
	c	Developing policies and services in order to predict and deal with changes, promote competitiveness and entrepreneurship.

### Actions:

1. continuous professional training, training and re-training for the personnel of enterprises and public institutions. Plus training and re-training for entrepreneurs, self-employed and professional people in any field, prioritizing women, especially those over 45 and 60, temporary workers and those with semi-subordinate contracts in order to regularize their jobs;
2. specialized professional training for managers, entrepreneurs, paying particular attention to female entrepreneurs;
3. retraining and re-qualification of the workers employed in firms going through structural and sector crises;
4. support for the strengthening and diffusion of organizing and technological innovation processes in diverse productive fields;
5. training vouchers involving enterprises;
6. reinforcement of the understanding of expertise demands;
7. lifelong learning for elderly and less specialized workers;
8. LTA (Local Training Agreements) promotion and development;
9. plans for workers' reinstatement, contrasting and preventing sector and business crises;
10. awareness of and support for ICT application in SMEs;
11. support for spin-off actions for freshly-graduated people, doctorands, PhDs and people with Master's degrees;
12. strengthening of labour market permanence in favour of the disabled and socially marginalized people;
13. actions for the protection the workers' safety and health;
14. promotion of and support for the generation turnover in micro-enterprises through counselling offered by more experienced elderly workers.

### Target groups:

Enterprises, societies in charge of professional training, entrepreneurs, employees, self-employed people, professional people; LSU (Italian abbreviation for workers utilized for social purposes); LPU (Italian abbreviation for public sector workers); those laid-off and with innominate contracts.

Axis II Employability	Specific aims	
	a	Increasing the competence, effectiveness, quality and inclusiveness of institutions in the labour market.
	b	Implementing active and precautionary work policies, with particular attention to be paid to migrants' integration into the labour market, active ageing, self-employment and enterprise start-up.
	c	Improving women's access to the labour market, thus reducing gender inequality.

### Actions:

1. promotion of and support for youth employment, incentives for the access of women to the labour market, and also an increase in job availability for the disabled still able to work, or for immigrants;
2. reduction in temporary work;
3. prevention of long-term unemployment by paying particular attention to workers outside the production cycle or else at high risk;
4. support for enterprises for recruiting new staff;
5. support for developing a new type of entrepreneurship and spreading a real entrepreneurial culture, creating new services and female self-employment as well as regularizing workers with innominate contracts;
6. promotion of and support for the extension of working life;
7. an increase in and strengthening of the access to child-care services and social services for the elderly and people who are not self-sufficient;
8. vocational counselling intended for young people of school age;
9. improvement and extension of employment services;
10. promotion of local development and partnerships between institutions;
11. support for the monitoring and analysis of employment functions by means of ad hoc studies;
12. regularizing off-the-book employment;
13. job experience activity in public institutions and private enterprises.

### Target groups:

Jobless and unemployed people, laid-off workers and workers in labour mobility, immigrants, public and private employment services and young people of school and training ages.





Specific aims	
<b>Axis III Social Inclusion</b>	a Developing road-maps for integration, and improving the working (re)insertion of disadvantaged people struggling against labour market discrimination.

#### Actions:

1. support for the working reinstatement of disadvantaged people at a high social exclusion risk, and the marginalized people (immigrants, prison inmates and the disabled);
2. incentives for enterprises so as to take on disadvantaged subjects; support for the stabilization of the relation between employer and employee;
3. professional training for the development of the service industry;
4. support for self-entrepreneurship;
5. professional training in the socio-medical field;
6. professional training for the operators in charge of social integration, the recognition and certification of expertise;
7. institutional competence initiatives in the health-care field;
8. creation of territorial systems to facilitate social integration programmes through the active participation of schools, job services and voluntary organizations;
9. awareness campaigns for developing the idea of social integration;
10. interventions for balancing job demand and supply through permanent job centres;
11. support for work experience and apprenticeships in enterprises with tutorial counselling.

#### Target groups:

Disadvantaged and disabled people, service industry operators and welfare work.

Specific aims	
<b>Axis IV Human Capital</b>	a Elaborating and implementing educational, professional training and job reforms in order to improve integration and employment, paying particular attention to professional counselling.
	b 1) increasing the participation in lifelong learning, also through regulations aimed at reducing dropout rates and gender inequality with respect to school subjects. 2) increasing the access to education, and primary professional and university training through improvements.
	c Creating communication networks between universities, technological centres for research, the productive and institutional world promoting, above all, innovation and research activity.

#### Actions:

1. Improvements in school systems;
2. training and research activity, the diffusion and/or reinforcing of advanced training for qualified people, people with school-leaving certificates and graduates, especially regarding announcements for educational and highly-technical training, training grants, training vouchers for post-graduate training courses, doctorates and on-the-job formation;
3. strengthening of the female presence in R&D and scientific-technological field;
4. strengthening of the interaction between institutions, centres of excellence, industrial poles and SMEs;
5. support and counselling for the ethnic minorities' social integration and also reducing wastage;
6. workshops, cycles of conferences and other initiatives intended to spread both information and scientific knowledge, and awareness campaigns for innovation;
7. surveys on the innovation demand of enterprises, public and private services, international scientific research activities and the sharing involved;
8. promotion of cognitive and specializing on-the-job training courses for university students to be held in centres for scientific and technological research activities to be shared by alternating research with advanced training;
9. research grants for research projects, to be carried out at Universities and public and private Institutes, and transfer projects;
10. experimental research activity through work experiences in the fields of Cultural and Anthropological Heritages, the environment, education, social problems, financial sectors and security, etc.;
11. training and spin-off aids;
12. promotion of the sharing of scientific knowledge;
13. advanced training for specialized professional profiles in the environmental and energy field, etc.

#### Target groups:

Post-graduate students, researchers, operators in charge of training activities, High Schools, universities, enterprises, research centres and training centres.



Axis V Transnational and Interregional Actions	Specific aims	
	a	Promoting the development of systems and initiatives on a regional and trans-national scale, paying particular attention to the best practical procedure.

#### Actions:

1. support for trans-national and inter-regional actions;
2. development of the best procedure for strengthening public services in order to improve training policies and also facilitate employment availability in social and entrepreneurial contexts;
3. internationalization of enterprises by strengthening their expertise and relations on international markets;
4. strengthening and making the most of trans-national cooperation and an exchange of the best practical procedures in the field of advanced training, R&D, labour mobility, cultural heritage and the environment, plus an exchange of specific professionalisms;
5. support for labour mobility itineraries intended for the operators in the fields of education and professional training;
6. partnerships in a lifelong learning perspective;
7. support for self-employment and enterprise start-ups for partnerships and exchanges;
8. inter-institutional cooperation between regional and local governments as well as EU countries:

#### Target groups:

Italian, European and Mediterranean public and private institutions, enterprises, entrepreneurs, researchers, school and university operators and self-employed workers.

Axis VI Technical Assistance	Specific aims	
	a	Improving the efficiency of the Operational Programme through support and also by taking action.

#### Activities:

1. assistance for the drawing-up of documents for planning or in support of planning, so as to facilitate the choice and efficiency of the Monitoring Committee;
2. support for planning, assessment, management, auditing, inspections and rendering an account of the actions foreseen in the OP, as well as technical assistance for negotiations between the authorities put forward in ESF OP;
3. an increase in the personnel in charge of the ESF OP implementation;
4. strengthening of the policies for equal opportunities foreseen on a regional level and already started in the previous planning;
5. support for the assessment of the interventions carried out within the OP with respect to the national and EU Community priorities;
6. the drawing-up, planning and implementation of the ESF OP "Communication Plan";
7. support for local (institutional, financial and social partnerships) and international (trans-national cooperation) partnerships.

#### Target groups:

Managing Authority, Certifying Authority, Audit Authority, Intermediate Bodies, financial and social partnerships. This is roughly in agreement with (EC) Regulation n. 1081/2006, and also with the expense categories established by (EC) Regulation n. 1083/2006.

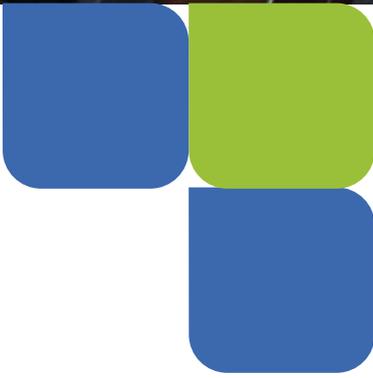
Axis VII Institutional Capacity	Specific aims	
	a	Improving policies, planning, monitoring and assessment on a local, regional and national scale to increase territory governance.
b	Strengthening the institutional competence to implement policies and programmes.	

#### Activities:

1. studies, statistics and expert counselling, support for the interdepartmental coordination and the dialogue between the public and private institutions involved;
2. improvements in decision-making, planning and the organization of the Regional Authority, District Council and Public Administration as to interventions;
3. lifelong training for managers and personnel;
4. promotion and strengthening of socio-economic decision-makers, including social parts, environmental partners, the non-governmental organizations involved and the representative professional organizations;
5. the identification and exchange of the best practical procedures in cooperation with other Italian regions;
6. putting governance models in line with new policies.

#### Target groups:

Public Administrations, local government units and formative personnel organisms.



## Implementation Modes

### 3. Implementation Modes:



#### AUTHORITIES

##### ■ Managing Authority

The Managing Authority manages the Operational Programme and its implementation in line with the principle of correct administrative and financial management. The function of the Managing Authority for the ESF Operational Programme is carried out by the General Director pro tem of the Training, Labour, Culture and Sport Department.

e-mail: [adg\\_fse@regione.basilicata.it](mailto:adg_fse@regione.basilicata.it)

The Managing Authority is in charge of:

- a. guaranteeing that the operations which benefit from financing are chosen in agreement with the criteria involved in the Operational Programme and also the EU and national rules for as long as implementation lasts;
- b. informing the Monitoring Committee about the conformity of the operations involved;
- c. ascertaining that the products and services co-financed have been supplied according to the national and EU regulations;
- d. guaranteeing an electronic system for registering and storing the accounts data of each single operation carried out within the Operational Programme. Gathering the implementation data necessary for financial management, inspection, checks, auditing and assessments;
- e. guaranteeing that the beneficiaries, and the other authorities involved, adopt separate accounting and coding systems for each transaction involved, in agreement with the national accounting regulations;
- f. guaranteeing that the Operational Programme is assessed in accordance with art. 47 of (EC) Regulation of Council n. 1083/2006;
- g. deciding on procedures which allow the retention of the original documents or their authenticated copies, relative to expenses and auditing necessary for inspection according to art.90 for three years after the closure of the Operational Programme. This is the case also for operations subject to partial closure;
- h. guaranteeing that the Certifying Authority is provided with all the information needed as to the procedures and checks carried out in order to certify the expenses;
- i. guiding the Inspection's activities and giving the Committee itself the necessary documents for supervising the quality of the Operational Programme's implementation;
- j. working out the Annual and Final Reports on the implementation subject to the Monitoring Committee's approval, to be presented to the European Commission in accordance with the terms and demands provided for by the European Commission;
- k. guaranteeing respect for the obligations foreseen in art. 69 of the (EC) Regulation of Council n. 1083/2006 as regards information and promotion;
- l. providing per-axis information on the complementary use of structural Funds.

## Certifying Authority

The Certifying Authority is in charge of correctly certifying the expenses paid out utilizing the EU/State funds for the Operational Programme's implementation. The function of the Certifying Authority for the ESF Operational Programme is carried out by the manager pro tem of the Financial Resource, Statement and Tax Office in the Council Presidency Department.  
e-mail:adc\_fse@regione.basilicata.it

The Certifying Authority is in charge of:

- a. working out and forwarding the certificates of the expenses and payment demands to the European Commission through the Authority mentioned in par. 5.2.3
- b. once certified that:
  - i) the expense declaration is correct, comes from reliable accounts systems and is based on verifiable, justifiable documents;
  - ii) the expenses declared are in accordance with the applicable national and EU regulations, and also that they are met taking into consideration the operations involved in financing, in accordance with the Programme and national and EU Regulations;
- c. guaranteeing that the Managing Authority has received suitable information on the procedures followed plus checks on the expenses involved which appear on the expense declaration;
- d. acting in agreement with the auditing results carried out either by the Audit Authority or under its specific responsibility;
- e. retaining a computerized account of the expenses declared by the European Commission;
- f. retaining reclaimable amounts and those withdrawn after the participation in an operation has come to an end either totally or partially. The amounts reclaimable are to be given back to the EU's general budget before the closure of the Operational Programme and deducted from the subsequent expense declaration.



## Audit Authority

The function of the Audit Authority for the ESF Operational Programme is carried out by the manager pro tem of the Office for the Supervision of European Funds in the Regional Council Presidency.  
e-mail:ada\_fse@regione.basilicata.it

The Audit Authority is in charge of:

- a. guaranteeing the auditing in order to ascertain that the Operational Programme is managed and supervised efficiently;
- b. guaranteeing the auditing on a number of operations suitable for a check on the expenses declared;
- c. presenting to the European Commission within nine months of the approval of the Operational Programme an auditing strategy for the authorities referred to in a) and b), the methodology and the auditing study method involved, plus an auditing plan in order to guarantee that the main authorities are subject to auditing, and also that these activities will be equally distributed over the entire period of programming;
- d. from 2008 to 2015 every year within 31 December the Audit Authority will be in charge of:
  - i) presenting to the European Commission an annual report on the auditing results referring to the 12 months preceding 30 June in the year concerned. This is in agreement with the auditing strategy foreseen in the Operational Programme and depends on the defects found in the management and supervision of the Programme;
  - ii) after this, expressing an opinion about the efficiency of the management and control, and verifying whether the expense declarations presented by the European Commission are accurate and the transactions involved are legitimate;
  - iii) presenting, in the cases foreseen in art. 88 of the (EC) Regulation of Council n. 1083/2006, a partial closure declaration which validates the legitimacy of the expenses involved;
- e. presenting to the European Commission, within 31 March 2017, a closure declaration which validates the payment of the final balance, and also the legitimacy of the relative transactions accounted for by the above-mentioned declaration of the expenses, plus a final inspection report.



## BODIES

### Monitoring Committee

The Monitoring Committee is in charge of verifying the effectiveness and quality of the Operational Programme.

To this aim the Committee:

- examines and approves of within 6 months of the approval of the Operational Programme the criteria for selecting the operations to be financed, and also any revision of such criteria according to the programming requirements;
- is informed by the Managing Authority about the conformity of the operations started before the approval of the criteria;
- periodically assesses the progress made in the achievement of the Operational Programme's specific aims on the basis of the documents presented by the Managing Authority;
- goes through the implementation results, especially the achievement of the aims set for each priority axis as well as the assessments referred to in art. 48.3 of the (EC) Regulation of Council n. 1083/2006;
- goes through and approves of the annual and final Implementation Reports before they are forwarded to the European Commission;
- is informed on the annual Inspection Report and any possible observations made by the European Commission having gone through the Report;
- can ask the Managing Authority for any revision or verification of the Operational Programme so as to allow the achievement of the aims for which the funds are earmarked, or the improvement of management, including financial management;
- goes through and approves of any proposal for modification to be made to the contents of the European Commission's decision on fund-sharing;
- is informed about the information, communication and promotion plans and activities in accordance with the implementation modes provided by the Commission Regulation (EC) n. 1828/2006.

### Intermediate Bodies

The Basilicata Regional Authority can choose an intermediate body among Territorial Public Bodies, State Central Administrations, Public and Private Institutions in order to carry out some or all of the Managing or Certifying Authorities' obligations, under the responsibility or on behalf of such an Authority, in favour of the beneficiaries who start the operations.

This delegation is regulated by an act which establishes its contents, mutual functions, the relative recurrence of the information to be forwarded to the Managing/Certifying Authority, the obligations and procedures for presenting the expenses paid out, carrying out management and inspection, financial flow monitoring, the retention of documents, possible payments and penalties for any delay, negligence and obligations not discharged. In particular, the Managing/Certifying Authority makes sure that the intermediate bodies are competent and well-informed about the terms of expense admissibility. The intermediate bodies must have separate electronic auditing, inspection and financial report systems.

As far as ESF OP Basilicata 2007-2013 is concerned, the intermediate bodies are the Potenza and Matera District Councils.



## Financial Regulations



The ESF OP Basilicata 2007-2013 was approved by the European Commission with decision n. C (2007) 6724 on 18 December 2007. It has a total financial endowment equal to 322,4 Million euros, 128,9 of which come from the European Social Fund, 154,7 Million euros as a state co-financing share and 38,7 Million euros from the regional balance distributed between axes and yearly payments as reported in the following tables:

Tab.1 Operational Programme Financial plan per priority axis

AXES	TOTAL ELIGIBLE COST	PUBLIC CONTRIBUTIONS					ESF Co-financing rate
		Total public cost	EU sharing (ESF)	National Public Sharing			
				Total	Central	Regional	
Axis I Adaptability	53.190.323	53.190.323	21.276.129	31.914.194	25.531.355	6.382.839	40%
Axis II Employability	51.578.494	51.578.494	20.631.398	30.947.096	24.757.677	6.189.419	40%
Axis III Social Inclusion	51.578.494	51.578.494	20.631.398	30.947.096	24.757.677	6.189.419	40%
Axis IV Human Capital	128.946.235	128.946.235	51.578.494	77.367.741	61.894.193	15.473.548	40%
Axis V Transnational and Interregional Actions	14.506.451	14.506.451	5.802.580	8.703.871	6.963.096	1.740.774	40%
Axis VI Technical Assistance	12.894.623	12.894.623	5.157.849	7.736.774	6.189.419	1.547.355	40%
Axis VII Institutional Capacity	9.670.968	9.670.968	3.868.387	5.802.581	4.642.064	1.160.516	40%

Tab.2 Operational Programme Financial plan per year

Years	Total eligible cost	PUBLIC CONTRIBUTIONS					%
		Total public cost	EU sharing	National Public Sharing			
				Total	Central	Regional	
2007	€ 67.868.750	€ 67.868.750	€ 27.147.500	€ 40.721.250	€ 32.577.000	€ 8.144.250	21,05
2008	€ 61.108.113	€ 61.108.113	€ 24.443.245	€ 36.664.867	€ 29.331.894	€ 7.332.973	18,95
2009	€ 54.049.903	€ 54.049.903	€ 21.619.961	€ 32.429.942	€ 25.943.953	€ 6.485.989	16,77
2010	€ 46.684.920	€ 46.684.920	€ 18.673.968	€ 28.010.952	€ 22.408.762	€ 5.602.190	14,48
2011	€ 39.003.720	€ 39.003.720	€ 15.601.488	€ 23.402.232	€ 18.721.785	€ 4.680.447	12,10
2012	€ 30.996.595	€ 30.996.595	€ 12.398.638	€ 18.597.957	€ 14.878.366	€ 3.719.591	9,62
2013	€ 22.653.588	€ 22.653.588	€ 9.061.435	€ 13.592.153	€ 10.873.722	€ 2.718.431	7,03
TOTAL	€ 322.365.588	€ 322.365.588	€ 128.946.235	€ 193.419.353	€ 154.735.482	€ 38.683.871	100,00

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